

Drug-coated balloons: from evidence to practice and a proposed antithrombotic therapy algorithm

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ABSTRACT

Drug-coated balloons (DCBs) have emerged as an effective “leave-nothing-behind” strategy in percutaneous coronary intervention, offering the potential to reduce the permanent metallic burden, preserve vasomotion, and shorten the duration of antithrombotic therapy. Current DCB technologies include paclitaxel-coated and sirolimus-coated balloons, which differ in drug delivery, tissue retention, and clinical performance. Evidence from randomized clinical trials and meta-analyses supports the use of DCBs in several coronary settings, particularly in-stent restenosis, small-vessel disease, bifurcation lesions, and patients at high bleeding risk. Optimal lesion preparation is essential to maximize procedural success. Antithrombotic management after DCB-only percutaneous coronary intervention remains insufficiently standardized. Based on the available evidence, abbreviated dual antiplatelet therapy, or even single antiplatelet therapy in selected patients at high bleeding risk, appears feasible and safe. DCBs and drug-eluting stents should therefore be regarded as complementary technologies, with their use tailored to lesion complexity, bleeding risk, and individual patient characteristics.

Keywords: Drug-coated balloon. Drug-eluting stent. Coronary artery disease. Antithrombotic therapy.

Balones farmacoactivos: de la evidencia a la práctica y propuesta de un algoritmo de tratamiento antitrombótico

RESUMEN

Los balones farmacoactivos (BFA) se han consolidado como una estrategia eficaz «sin implante» en la intervención coronaria percutánea, ya que ofrecen la posibilidad de reducir la carga metálica, preservar la vasomotricidad y acortar el tratamiento antitrombótico. Las tecnologías actuales de BFA incluyen balones liberadores de paclitaxel y de sirolimus, que difieren en la liberación del fármaco, la retención tisular y el rendimiento clínico. La evidencia procedente de ensayos aleatorizados y metanálisis respalda el uso de los BFA en varios escenarios coronarios, en particular la reestenosis en el interior del *stent*, la enfermedad de pequeños vasos, las lesiones de bifurcación y los pacientes con alto riesgo hemorrágico. Una preparación óptima de la lesión es esencial para maximizar el éxito del procedimiento. El tratamiento antitrombótico tras una intervención coronaria percutánea únicamente con BFA sigue sin estar suficientemente estandarizado. Según la evidencia disponible, las estrategias abreviadas de tratamiento antiagregante plaquetario doble, o incluso simple en determinados pacientes con alto riesgo hemorrágico, parecen viables y seguras. Por lo tanto, los BFA y los *stents* farmacoactivos deben considerarse tecnologías complementarias, cuya selección debe adaptarse a la complejidad de la lesión, el riesgo hemorrágico y las características individuales del paciente.

Palabras clave: Balón farmacoactivo. *Stent* farmacoactivo. Enfermedad coronaria. Tratamiento antitrombótico.

Abbreviations

ACS: acute coronary syndrome. **CCS:** chronic coronary syndrome. **DAPT:** dual antiplatelet therapy. **DCB:** drug-coated balloon. **DES:** drug-eluting stent. **HBR:** high bleeding risk. **ISR:** in-stent restenosis. **PCI:** percutaneous coronary intervention.

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INTRODUCTION

In contemporary interventional cardiology, drug-coated balloons (DCBs) have emerged as a viable, safe, and effective option for percutaneous coronary intervention (PCI) in selected clinical settings involving coronary artery disease.¹

The appeal of the “leave-nothing-behind” strategy lies in its potential to reduce the thrombotic and bleeding risks associated with permanent metallic implants and prolonged dual antiplatelet therapy (DAPT), preserve coronary vasomotion, and maintain future revascularization options. These advantages are among the reasons why DCBs have gained an established role in the interventional cardiologist's armamentarium.

DCB TECHNOLOGY AND MECHANISM OF ACTION

The antiproliferative agents used in DCBs can be divided into 2 main classes: paclitaxel and sirolimus.² Paclitaxel, a well-established antineoplastic agent, is highly lipophilic, which facilitates rapid cellular uptake and enables a sustained biological effect at low doses. Sirolimus, also known as rapamycin, has potent antiproliferative and immunosuppressive properties. Because sirolimus is less well absorbed than paclitaxel, innovative excipients and delivery systems have been developed to enhance drug transfer, tissue retention, and bioavailability. To overcome these issues, microcarriers are therefore used to facilitate the gradual release and dissolution of sirolimus over time.²

In a recent large meta-analysis, paclitaxel-coated balloons (PCBs) were associated with significantly lower late lumen loss (LLL) than sirolimus-coated balloons (SCBs), although no significant difference in TLF was observed.³

Because DCBs differ in drug type, formulation, transfer kinetics, excipients, and bioavailability, they are not considered to have a class effect.¹⁻³ The main differences between the antiproliferative drugs used in DCBs are shown in figure 1.

Future DCB technologies may incorporate novel drugs or combinations of 2 drugs within the same balloon platform.⁴ Consequently, different DCBs may be more appropriate for specific lesion types or patient profiles, in accordance with the current precision-medicine paradigm.

LESION PREPARATION AND PROCEDURAL TECHNIQUE

The principal goal of balloon angioplasty is to achieve adequate luminal gain in a stenotic coronary segment. Plaque fracture and vessel dissection caused by stretching of the vessel wall are the main mechanisms responsible for luminal gain, although the risk of acute vessel closure increases with the severity of the dissection.⁵

Dissection may be independently associated with late lumen gain and a lower risk of target lesion failure (TLF) after DCB-only PCI. In a retrospective cohort of 328 de novo coronary lesions treated with DCB-only PCI, the absence of dissection was associated with a significantly higher rate of TLF.⁶

Cortese et al.⁷ evaluated a cohort of 156 patients treated with DCBs for native coronary artery disease. Final type A-C dissections without impaired distal flow were observed in 52 patients. No significant differences in MACE rates were found between patients with and without dissection.

DCB	Paclitaxel	Sirolimus
Characteristics	Highly lipophilic Anti-restenotic Cytotoxic - Arrests cell in G2/M phase Narrow therapeutic range Fast tissue absorption Long tissue retention Late vessel remodeling	Less lipophilic Anti-restenotic + Cytostatic - Arrests cell in G1/S phase Wide therapeutic range Poor transfer rate Slow tissue absorption Short tissue retention No late vessel remodeling effect
Clinical outcomes	Limited evidence comparing clinical outcomes between PCBs and SCBs Trends favouring PCBs for angiographic outcomes (PCBs have demonstrated greater minimal lumen diameter in de novo lesions than in ISR)	

Figure 1. Characteristics of paclitaxel-coated and sirolimus-coated DCBs. DCB, drug-coated balloon; ISR, in-stent restenosis; MLD, minimal lumen diameter; PCB, paclitaxel-coated balloon; SCB, sirolimus-coated balloon.

In the TRANSFORM trial, 109 patients were randomized to treatment with an SCB or PCB to demonstrate noninferiority in clinical and angiographic outcomes. Although acute dissection volume showed a slight inverse association with LLL among patients treated with a PCB, this association was not observed among those treated with an SCB.⁸ Although no differences in clinical outcomes were found, the greater late lumen loss observed with SCBs, particularly in complex lesions, highlights the importance of adequate lesion preparation when using the less lipophilic sirolimus platform.⁹

The ULTIMATE III trial randomized 260 patients with de novo coronary lesions and high bleeding risk to intravascular ultrasound-guided or angiography-guided DCB-only PCI. The primary endpoint of LLL at 7 months was significantly lower with intravascular ultrasound guidance, whereas target vessel revascularization (TVR) did not differ between groups.¹⁰ Further studies are required to establish the role of intravascular ultrasound and optical coherence tomography (OCT) in DCB-guided PCI.

Lesion preparation may involve semicompliant (SC) or noncompliant (NC) balloons, specialized cutting or scoring balloons, using a 1:1 balloon-to-artery ratio based on the distal reference vessel diameter, or calcium-modification techniques, as recommended by the Academic Research Consortium.¹¹ An adequate angiographic result should be confirmed before DCB delivery (figure 2).

In the presence of residual dissection or angiographically limited acute luminal gain after predilation, fractional flow reserve has been proposed to provide a more accurate assessment of the functional result. Historical data indicate that a fractional flow reserve > 0.90 after balloon angioplasty is a strong predictor of immediate functional improvement and is associated with low restenosis rates at the 2-year follow-up.^{12,13}

Patients with residual percent diameter stenosis > 30% or a National Heart, Lung, and Blood Institute coronary dissection of type C or higher have systematically been excluded from clinical trials because of ethical concerns regarding the risk of procedural failure and subsequent complications.¹¹

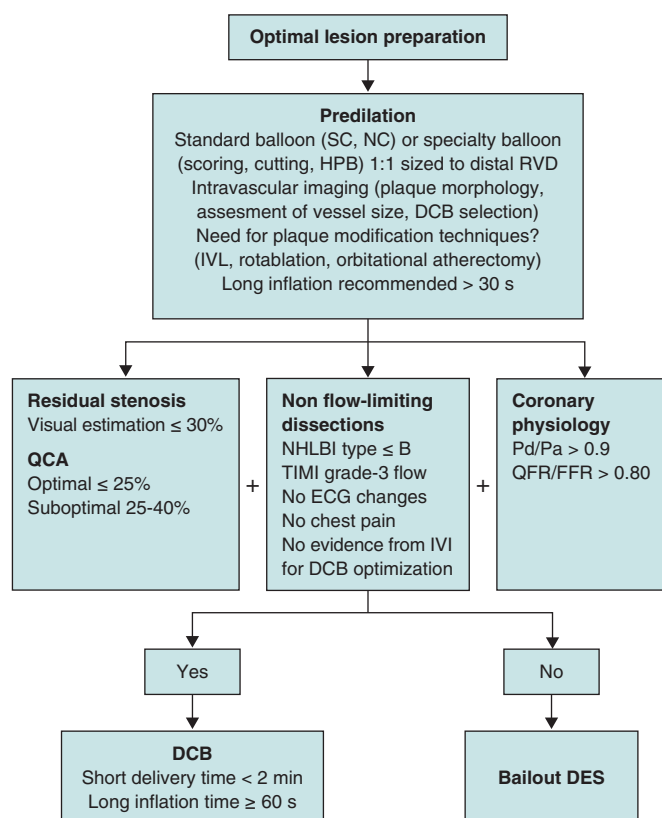


Figure 2. Optimal lesion preparation for drug-coated balloon angioplasty. DCB, drug-coated balloon; DES, drug-eluting stent; ECG, electrocardiogram; FFR, fractional flow reserve; HPB, high-pressure balloon; IVI, intravascular imaging; IVL, intravascular lithotripsy; NC, noncompliant balloon; NHLBI, National Heart, Lung, and Blood Institute coronary dissection classification; Pa, aortic pressure; Pd, distal coronary pressure; QCA, quantitative coronary angiography; QFR, quantitative flow ratio; RVD, reference vessel diameter; SC: semi-compliant; sec, seconds.

CORONARY LESION SUBSETS

In-stent restenosis

Several randomized studies have compared DCBs with plain old balloon angioplasty (POBA) or drug-eluting stents (DESs) for the treatment of in-stent restenosis (ISR), as well as PCBs with SCBs (table 1).¹⁴⁻²⁵

The ISAR-DESIRE 3 trial randomized 402 patients with DES-ISR to treatment with POBA, PCB, or paclitaxel-eluting stents (PES). At 10 years, the primary endpoint and major secondary endpoints did not differ significantly between the PCB and PES groups.^{18,25}

In the RIBS V trial, the primary endpoint of minimum lumen diameter at 9 months was significantly greater with everolimus-eluting stents than with PCBs ($P < .001$), although clinical outcomes were similar.¹⁵

In the RIBS IV trial, 309 patients with DES-ISR were randomly assigned to treatment with a PCB or an everolimus-eluting stent. Target lesion revascularization (TLR) rates were significantly lower in the everolimus-eluting stent group at the 1-year ($P = .007$) and 3-year ($P = .015$) follow-up.²⁰

In the DARE trial, 278 patients with DES-ISR involving ostial lesions, the left main coronary artery, or bifurcations were

randomized to treatment with a PCB or an everolimus-eluting stent. For the primary endpoint of in-segment minimum lumen diameter at 6 months, PCB treatment was noninferior to DES implantation (P for noninferiority $< .0001$). TLR rates were similar at 12-month follow-up.²³

DAEDALUS was a patient-level meta-analysis of 710 patients from 10 randomized clinical trials comparing DCB angioplasty with repeat DES implantation for ISR. Among patients with bare-metal stent ISR (BMS-ISR), no significant differences were observed between treatments in the primary efficacy or safety endpoints. Among patients with DES-ISR, the risk of the primary efficacy endpoint was significantly higher with DCB angioplasty, whereas the risk of the primary safety endpoint was numerically lower.²⁶

In a recent cohort of 160 patients with ISR treated with SCB-based PCI and followed for a median of 1 year, no cardiac deaths were reported. The rates of myocardial infarction (MI), TLR, and TVR were 3.4%, 2.5%, and 6.3%, respectively, which were lower than the 11.1% event rate reported in the DAEDALUS meta-analysis at 1 year.²⁷

According to the European clinical practice guidelines on chronic coronary syndromes (CCS), DES implantation is preferred over DCB angioplasty for the treatment of DES-ISR.²⁸ However, an initial DCB strategy may be preferable in selected cases, reserving implantation of an additional DES layer for DCB treatment failure. A DCB-first approach is particularly appealing for patients with BMS-ISR, multiple pre-existing stent layers, ISR in small vessels, or ISR involving bifurcations. The recommended duration of DAPT after DCB-PCI for ISR is 4 weeks.²⁹

All available evidence should be considered when selecting a treatment strategy for ISR. The theoretical advantages of DCBs—avoiding additional metallic layers and shortening antithrombotic therapy—must be balanced against their potentially lower long-term efficacy in this setting.

De novo lesions

Small-vessel de novo disease

The first randomized trials comparing DCBs with DESs for de novo coronary lesions focused on small-vessel disease (table 2).³⁰⁻³⁴

A recent meta-analysis included 4 randomized clinical trials that assigned 1414 participants with vessels < 3 mm to treatment with either a PCB or a DES. No significant differences in MACE rates were observed at 1- or 2-year follow-up.³⁵ Furthermore, the BASKET-SMALL 2 trial reported no significant differences at 3 years. TVR rates at the 3-year follow-up were likewise similar between groups. Major bleeding was evaluated only in BASKET-SMALL 2 and was significantly less frequent with the PCB strategy at the 2-year follow-up ($P = .03$).³⁵

In all trials except RESTORE SVD, antiplatelet therapy consisted of 1 month of DAPT for patients with CCS undergoing PCB-PCI, 3 months for patients undergoing BMS implantation, and 6-12 months for those undergoing DES implantation. All patients with acute coronary syndrome (ACS) received 12 months of DAPT.

Although different DAPT regimens were used after PCI with BMSs or DESs, 3 randomized clinical trials (RCT) used 1 month of DAPT after DCB-PCI in patients with CCS. None showed a statistically significant difference in MACE or TLR between treatment groups, supporting the safety of abbreviated DAPT after DCB-PCI.

Table 1. Randomized controlled trials evaluating drug-coated balloons for in-stent restenosis

Trial	N	Design	Primary endpoint	TLR
<i>BMS-ISR</i>				
PEPCAD II ¹⁴	56	PCB vs PES	LLL: PCB (0.17 ± 0.42 mm) vs PES (0.38 ± 0.61 mm); <i>P</i> = .03	PCB (7.6%) vs PES (16.9%); <i>P</i> = .17
RIBS V ¹⁵	189	PCB vs EES	MLD: PCB (2.01 ± 0.6 mm) vs EES (2.36 ± 0.6 mm); <i>P</i> < .001	PCB (6%) vs EES (1%); <i>P</i> = .09
TIS ¹⁶	136	PCB vs EES	LLL: PCB (0.09 ± 0.44 mm) vs EES (0.44 ± 0.73 mm); <i>P</i> = .0004	PCB (7.3%) vs EES (16.2%); <i>P</i> = .11
SEDUCE ¹⁷	50	PCB vs EES	Percentage of uncovered struts per patient: PCB (1.4%) vs EES (3.1%); <i>P</i> = .025	PCB (4.2%) vs EES (8%); <i>P</i> = .57
<i>DES-ISR</i>				
ISAR DESIRE 3 ^{18,25}	402	POBA vs PCB vs PES	MACE: POBA (72%) vs PCB (55.9%) vs PES (62.4%); <i>P</i> < .0001	POBA (58%) vs PCB (43.9%) vs PES (38.6%); <i>P</i> < .0001
PEPCAD-China ISR ¹⁹	220	PCB vs PES	LLL: PCB (0.46 ± 0.51 mm) vs PES (0.55 ± 0.61 mm); <i>P</i> noninferiority = .0005	PCB (15.6%) vs PES (12.3%); <i>P</i> = .48
RIBS IV ²⁰	309	DCB vs EES	MLD: DCB (1.80 ± 0.6 mm) vs EES (2.03 ± 0.7 mm); <i>P</i> < .01	DCB (20%) vs EES (7%); <i>P</i> = .007
RESTORE ²¹	172	PCB vs EES	LLL: PCB (0.15 ± 0.49 mm) vs EES (0.19 ± 0.41 mm); <i>P</i> = .54	PCB (7.0%) vs EES (4.7%); <i>P</i> = .51
SELUTION SLR ²²	418	SCB vs control (80% DES or 20% POBA)	TLF: SCB (15.3%) vs DES control (7.1%); <i>P</i> = .02	SCB (12.9%) vs DES control (7.1%); <i>P</i> = .07
<i>BMS-ISR/DES-ISR</i>				
DARE ²³	278	PCB vs EES	MLD: PCB (1.71 ± 0.51) vs EES (1.74 ± 0.61); <i>P</i> for noninferiority < .0001	PCB (8.8%) vs EES (7.1%); <i>P</i> = .65
BIOLUX ²⁴	229	PCB vs SES	LLL: PCB (0.03 ± 0.40 mm) vs SES (0.20 ± 0.70); <i>P</i> = .398	PCB (12.5%) vs SES (10.1%); <i>P</i> = .82

DCB, drug-coated balloon; DES, drug-eluting stent; EES, everolimus-eluting stent; LLL, late lumen loss; MLD, minimal lumen diameter; PCB, paclitaxel-coated balloon; PES, paclitaxel-eluting stent; POBA, plain old balloon angioplasty; SES, sirolimus-eluting stent; TLR, target lesion revascularization.

Table 2. Randomized controlled trials evaluating drug-coated balloons for de-novo small vessel disease

Trial	N	Design	Primary endpoint	TLR
PICCOLETO ³⁰	57	PCB vs PES	DS: PCB (43.6%) vs PES (24.3%); <i>P</i> = .029	Not reported
BELLO ³³	182	PCB vs PES	LLL: DCB (0.08 ± 0.38 mm) vs DES (0.29 ± 0.44 mm); <i>P</i> for noninferiority = < .001; <i>P</i> for superiority = .001	PCB (4.4%) vs PES (7.6%); <i>P</i> = .37
RESTORE SVD ³¹	230	PCB vs ZES	DS: PCB (29.6 ± 2.0%) vs ZES (24.1 ± 2.0%); <i>P</i> < .001	PCB (4.4%) vs ZES (2.6%); <i>P</i> = .72
PICCOLETO II ³²	232	PCB vs EES	LLL: PCB (0.04 ± 0.28 mm) vs EES (0.17 ± 0.39 mm); <i>P</i> for noninferiority = .001; <i>P</i> for superiority = .03	PCB (5.6%) vs EES (5.6%); <i>P</i> = .8
BASKET SMALL 2 ³⁴	758	PCB vs EES	MACE at 12 months: PCB (7.3%) vs EES (7.5%); <i>P</i> = .92	PCB (3.4%) vs EES (4.5%); <i>P</i> = .43

DCB, drug-coated balloon; DES, drug-eluting stent; DS, diameter stenosis; EES, everolimus-eluting stent; LLL, late lumen loss; MACE, major cardiovascular adverse events; PCB, paclitaxel-coated balloon; PES, paclitaxel-eluting stent; SVD, small vessel disease; TLR, target lesion revascularization; ZES, zotarolimus-eluting stent.

Large-vessel de novo disease

Evidence regarding DCB use in large-vessel disease is derived from several trials conducted in different clinical settings (table 3).³⁶⁻⁴²

The REC-CAGEFREE I trial investigated the efficacy of PCB angioplasty compared with sirolimus-eluting stent (SES) implantation in 2272 patients with de novo, noncomplex coronary lesions without restrictions on vessel size.³⁵ At the 3-year follow-up, no significant differences were observed between the PCB and SES groups in cardiovascular

death or target vessel myocardial infarction (TVMI). However, clinically driven TLR was significantly more frequent after PCB treatment at 1 year (*P* = .002) and 3 years (*P* < .001). Notably, subgroup analysis according to small- vs nonsmall-vessel disease showed a significant interaction. Among patients with nonsmall-vessel disease, SESs were associated with fewer device-oriented composite endpoint events (*P* < .0001). Among patients with small-vessel disease, PCB and SES strategies produced similar device-oriented composite endpoint rates through 3 years. Major bleeding was numerically less frequent in the PCB group but did not differ significantly between groups.³⁷

Table 3. Randomized controlled trials evaluating drug-coated balloons for de-novo large vessel disease

Trial	N	Design	Primary endpoint	TLR
REVELATION ³⁶	120	PCB vs SES	FFR: PCB (0.92 ± 0.05) vs SES (0.91 ± 0.06); $P = .27$	PCB (3%) vs SES (2%); $P = 1.0$
REC-CAGEFREE-I ³⁷	2902	PCB vs SES	DoCE: PCB (6.4%) vs SES (3.4%); P for noninferiority = .65	PCB (3.1%) vs SES (1.2%); $P = .0021$
SELUTION ³⁸	3341	SCB vs DES strategies	TVF: SCB (5.3%) vs DES (4.4%); P for noninferiority = .02	TVR: SCB (3.3%) vs DES (2.1%); $P = .04$
REC-CAGEFREE-II ³⁹	1948	PCB only: stepwise DAPT vs standard DAPT	NACE: stepwise DAPT (8.9%) vs standard DAPT (8.6%); P for noninferiority = .013	Stepwise DAPT (3.8%) vs standard DAPT (3.6%); $P = .76$
DEBUT ⁴⁰	220	PCB vs BMS	MACE: PCB (1%) vs BMS (14%); P for noninferiority < .00001; P for superiority = .00034	PCB (0%) vs BMS (6%); P for noninferiority < .0001; P for superiority = .0003
Yu et al. ⁴¹	170	PCB vs DES	LLL: PCB (-0.19 ± 0.49 mm) vs DES (0.03 ± 0.64); P for noninferiority = .019	PCB (1.2%) vs DES (3.8%); $P = .36$
PEPCAD NSTEMI ⁴²	210	PCB vs stent treatment (BMS/DES)	TLF: PCB (3.8%) vs stent treatment (6.6%); $P = .53$; P for noninferiority = < .003	PCB (1%) vs stent treatment (1%); $P = 1.0$

BMS, bare-metal stent; DCB, drug-coated balloon; DoCE, device-oriented composite endpoint. DES, drug-eluting stent, FFR, fractional flow reserve; LLL, late lumen loss; MACE, major adverse cardiovascular events; NACE, net adverse clinical events; NSTEMI, non-ST-segment elevation myocardial infarction; PCB, paclitaxel-coated balloon; SCB, sirolimus-coated balloon; SES, sirolimus-eluting stent; TLF, target lesion failure; TLR, target lesion revascularization; TVF, target vessel failure TVR, target vessel revascularization.

The SELUTION trial, recently presented at a major scientific conference, randomized 3341 patients eligible for PCI to an SCB strategy using the SELUTION SLR device or a DES strategy, with randomization performed before lesion preparation.³⁸ All participants had a de novo lesion in a vessel measuring between 2.0 mm and 5.0 mm. Crossover to DES implantation occurred in 20% of patients assigned to the SCB strategy.

The primary composite endpoint of target vessel failure (TVF) at 1 year comprised cardiac death, TVMI, and clinically driven TVR. Noninferiority was demonstrated in the intention-to-treat analysis. Although the trial had broad inclusion criteria, patients with ST-segment elevation myocardial infarction, chronic total occlusions, ISR, or left main coronary lesions were excluded. Significant interactions were observed according to sex, with worse outcomes for SCB treatment in women; moderate-to-severe calcification, with better outcomes for SCB treatment in calcified lesions; and high bleeding risk, with better outcomes for the SCB strategy.

A recent systematic review and meta-analysis of randomized clinical trials comparing DCBs with DESs in 2961 patients with de novo large-vessel disease found that DCB treatment was associated with risks of TLR, all-cause mortality, cardiac death, MI, and MACE similar to those observed with DES implantation. However, DCB treatment was associated with a higher risk of TVR.⁴³

All studies included in this meta-analysis evaluated PCB-based PCI, and the criteria for adequate lesion preparation, balloon inflation time, and angiographic and clinical outcomes varied across studies. Target vessel thrombosis was not reported in these trials and is considered one of the most important safety considerations when deciding whether to use DCB-PCI for large-vessel disease. These methodological differences highlight important areas for future randomized clinical trials.⁴⁴

Bifurcation lesions

DCBs offer several theoretical advantages in bifurcation lesions, particularly when used within a provisional stenting strategy. These advantages include targeted delivery of antiproliferative therapy to the side branch (SB), a lower risk of carina shift and stent thrombosis, and avoidance of stent malapposition and polymer deformation.

Regarding the benefit of DCB treatment of the SB during provisional stenting, the PEPCAD-BIF trial randomized 64 patients with bifurcation lesions and a SB reference diameter of 2.0 mm to 3.5 mm to DCB angioplasty or POBA of the SB. The primary endpoint of LLL and the binary restenosis rate were significantly lower in the DCB group.⁴⁵

Gao et al. conducted the DCB-BIF trial, which compared DCBs with NC balloons for SB treatment in 784 patients with true bifurcation lesions undergoing main-vessel stenting and presenting with a severely compromised SB. The primary composite endpoint of cardiac death, TVMI, or clinically driven TLR was significantly less frequent in the DCB group ($P = .013$), primarily because of a lower rate of TVMI.⁴⁶

In the SPACIOUS trial, Zhou et al. enrolled 230 patients with de novo, non-left-main true bifurcation lesions and randomized them to SCB or PCB angioplasty of the SB. LLL did not differ significantly between groups, whereas binary restenosis was significantly less frequent in the sirolimus group ($P = .043$). Clinical outcomes, including death, MI, and revascularization, were similar between groups.⁴⁷

A meta-analysis by Zheng et al., which included 934 patients from 10 studies, showed that DCB treatment of the SB was associated with lower LLL and a lower rate of binary restenosis than POBA. The rate of MACE at 12 months was also significantly lower in the DCB group.⁴⁸ Notably, the DCB-BIF trial was not included in this meta-analysis.

Chronic total coronary occlusions

The treatment of chronic total coronary occlusions has gained increasing clinical importance over the past decade, with growing evidence suggesting that successful PCI may improve symptoms and reduce ischemic burden.⁴⁹ DES implantation has potential limitations, and alternative strategies that avoid permanent coronary implants have therefore attracted increasing interest.⁵⁰

In a retrospective analysis of the PROGRESS-CTO Registry, DCBs were used in 454 patients (3.7%). A DCB-only strategy was used in 48.4% of cases. Patients in the DCB-only group had more favorable

angiographic characteristics and a technical success rate similar to that of patients treated with a hybrid DES-DCB strategy. During a median follow-up of 323 days, the DCB-only group had a lower rate of MACE ($P = .032$).⁵¹

Yan et al.⁵² conducted a retrospective analysis of patients with successfully revascularized chronic total coronary occlusions and diffuse distal disease who were treated with either a DES-only or a hybrid DES-DCB strategy. At 24 months, the DES-DCB group had significantly lower MACE rates ($P = .008$) and greater improvement in anginal symptoms ($P < .001$).

In the PICCOLETO X study, Cortese et al.⁵³ conducted a multicenter registry including 938 patients and compared DCB-only and DCB plus DES strategies. At 12 months, the primary endpoint of TVF occurred in 9.5% of the overall population, with no significant differences between groups.

Panuccio et al.⁵⁴ conducted a meta-analysis of 6 observational studies including 2221 patients undergoing PCI for chronic total coronary occlusions and compared DCB-only and hybrid strategies. The primary endpoint of TLR at a mean follow-up of 2.8 years did not differ between groups. Secondary endpoints, including MACE, cardiovascular mortality, TVR, and TVMI, were also similar.

Several RCTs evaluating the use of DCBs in chronic total coronary occlusions are ongoing and should provide more robust evidence in this setting.^{55,56}

CLINICAL SCENARIOS

Acute coronary syndrome

Patients with acute coronary syndrome (ACS) have a higher ischemic risk, and concerns remain regarding the feasibility of DCB-PCI in lesions with a high thrombus burden. However, adequate lesion preparation and restoration of Thrombolysis in Myocardial Infarction grade-3 flow may facilitate DCB use in this setting.

The PEPCAD NSTEMI trial evaluated 210 patients with non-ST-segment elevation MI. The PCB-only strategy was noninferior to BMSs and DESs for TLF at 9 months. The overall MACE rate was 6.7% with PCB treatment vs 14.2% with DES implantation. TLR and LLL did not differ significantly between groups. All patients received DAPT for 1 year.⁴²

The REVELATION study randomized 120 patients presenting with ST-segment elevation MI and $< 50\%$ residual percent diameter stenosis after thrombus aspiration to treatment of the culprit lesion with either a PCB or a SES. During 2-year follow-up, MACE rates did not differ significantly between groups. All patients received DAPT for 1 year.³⁶

The REC-CAGEFREE II trial specifically evaluated DAPT duration in patients with ACS treated with PCB-only PCI.³⁹ Conducted at 41 centers in China, the trial randomized 1948 patients with ACS to either stepwise DAPT de-escalation DAPT, consisting of DAPT for 1 month with ticagrelor, followed by 5 months on ticagrelor monotherapy, and then 6 months of aspirin monotherapy or a standard 12-month regimen of DAPT consisting of aspirin plus ticagrelor. Stepwise DAPT de-escalation was noninferior to 12-month DAPT for the primary composite endpoint of Bleeding Academic Research Consortium (BARC) type 3 or 5 bleeding, all-cause mortality, stroke, MI, and revascularization at 12 months (P for noninferiority = .01). The composite of all-cause mortality, stroke, MI, and revascularization was similar between groups, whereas BARC type 3 or 5 bleeding was less frequent in the de-escalation group.

Notably, only 44% of patients presented with ST-segment elevation or non-ST-segment elevation MI; the remaining patients had unstable angina. The mean PCB diameter was 2.72 mm, placing most treated lesions within the small-vessel category. In addition, only 1 lesion was treated in most patients. These findings suggest that the trial population was highly selected and that the results should not be generalized to the broader ACS population. Nevertheless, this was one of the first RCTs to evaluate DAPT duration in patients treated with DCBs and supports the hypothesis that an abbreviated antiplatelet regimen may be appropriate in selected patients with ACS.

Regarding future perspectives, the ongoing COPENICAN trial is a Spanish study enrolling patients with ST-segment elevation MI who are randomized to PCB or SES treatment of culprit and suitable nonculprit lesions, either during the index PCI or as part of a staged strategy. The primary endpoint is a 12-month composite of TLF. The antithrombotic regimen is left to the operator's discretion; therefore, prespecified subgroup analyses of the different treatment strategies should provide additional insights. The trial is intended to support the expanding use of DCB-PCI in ACS by evaluating the safety and efficacy profile of this metal-sparing strategy.⁵⁷

Currently, the generally recommended duration of DAPT after either DES implantation or DCB-PCI in patients with ACS remains 12 months.⁵⁸

Patients at high bleeding risk

High bleeding risk (HBR) is an increasingly common clinical scenario among patients undergoing PCI. Increased life expectancy has resulted in a proportional rise in PCI procedures among older patients and those requiring long-term oral anticoagulation.

Patients who experience bleeding after PCI have significantly higher rates of all-cause mortality and adverse outcomes, including nonfatal MI, stroke, and prolonged hospitalization, compared with patients without bleeding.⁵⁹

In the DEBUT trial, 220 patients at HBR were randomized to treatment with a PCB or BMS.⁴⁰ At 9 months, the primary endpoint occurred in 14% of patients in the BMS group and 1% of those in the PCB group (P for superiority = .00034). Both groups received only 1 month of DAPT, regardless of whether the clinical presentation was CCS or ACS.

A subgroup analysis of the HBR population in the BASKET-SMALL 2 trial included 758 patients who were randomized to PCB or DES treatment of de novo lesions in small native coronary vessels.⁶⁰ MACE rates did not differ significantly between PCB and DES treatment, irrespective of bleeding risk. Although the differences were not statistically significant, major bleeding was less frequent with PCB than with DES treatment among patients without HBR (0.9% vs 3.8%), whereas the corresponding rates among patients with HBR were 4.5% and 3.4%. The numerical increase in bleeding among DES-treated patients without HBR was probably related to the longer duration of DAPT.

In the REC-CAGEFREE II trial described above, 20.6% of patients met HBR criteria. In the stepwise de-escalation group, BARC type 3 or 5 bleeding was significantly less frequent than in the standard-treatment group, while noninferiority was maintained for the primary endpoint. These findings suggest that abbreviated DAPT in patients at HBR may reduce bleeding without increasing ischemic events.³⁹

In addition, single antiplatelet therapy (SAPT) has been evaluated in patients at HBR. In a Finnish study, 172 patients, 87% of whom

The DCB approach for ISR

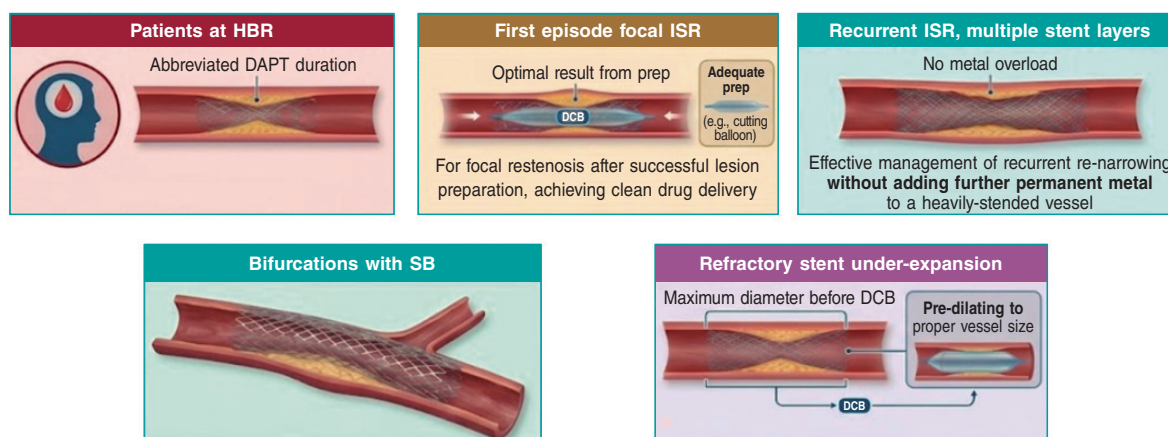


Figure 3. Recommended indications for DCB treatment of ISR. DAPT, dual antiplatelet therapy; DCB, drug-coated balloon; HBR, high bleeding risk; ISR, in-stent restenosis; SB, side branch.

met HBR criteria, underwent DCB-only PCI and were discharged on SAPT.⁶¹ At 1 year, MACE and all-cause mortality rates were 1.4% and 4.1%, respectively, among patients with CCS and 7.1% and 12.1%, respectively, among those with ACS. The rate of clinically relevant bleeding, defined as BARC type 2-5 bleeding, was 10.5% despite the advanced age and high comorbidity burden of the population.

Cortese et al.⁶² evaluated 107 patients at HBR who underwent DCB-only PCI and were discharged on SAPT, comparing them with patients treated with DAPT for 6 months. At 1 year, MACE rates did not differ significantly between groups, whereas the cumulative incidence of BARC type 2-5 bleeding was significantly lower in the SAPT group ($P = .04$).

In the EASTBOURNE registry, 2123 patients underwent DCB-PCI for de novo lesions (56.3%) or ISR (47.7%). A subgroup of 113 patients (5.8%) received SAPT.⁶³ No TLR or abrupt vessel closure occurred in the SAPT group. At 1 year, MACE and TLR rates did not differ between SAPT and DAPT regimens.

Additional details of these 3 studies are shown in [table S1](#).

In conclusion, in patients at HBR undergoing DCB-only PCI, an abbreviated 1-month DAPT regimen may be considered. SAPT may also be considered in selected patients at very high bleeding risk.

The main findings from randomized clinical trials evaluating DCB angioplasty in patients with large-vessel disease, ACS, or HBR are summarized in [table 3](#).

Patients with diabetes and diffuse or multivessel disease

Patients with diabetes often present with complex, diffuse coronary artery disease and a higher risk of restenosis, particularly in small vessels and bifurcation lesions. The stent-sparing nature of DCBs, together with their favorable outcomes in small-vessel disease, suggests that they may be beneficial in this high-risk population. DCBs and DESs should therefore be regarded as complementary devices that can be combined in hybrid strategies to reduce total stent length.

In a retrospective study, 254 patients with multivessel disease successfully treated with DCBs alone or in combination with DESs were included in a DCB-based treatment group and compared with 254 propensity score-matched patients treated with second-generation DESs. The DCB-based strategy was associated with a lower rate of MACE.⁶⁴

In a 3-year subgroup analysis of the BASKET-SMALL 2 trial, TVR rates were significantly lower among patients with diabetes mellitus treated with PCBs ($P = .036$), whereas no significant difference was observed among patients without diabetes.⁶⁵ The DAPT strategy used in this study consisted of 1 month of DAPT after PCB-PCI. However, patients with insulin-dependent diabetes mellitus represented only 12.6% of the study population; therefore, no firm recommendation can be made because of the limited sample size.

CURRENT INDICATIONS FOR DCB USE

Based on the evidence and knowledge accumulated in recent years, our recommendations for DCB use are summarized below ([figure 3](#) and [figure 4](#)).⁶⁶

In-stent restenosis

We recommend considering DCB treatment in the following settings: *a/* a first episode of focal in-stent restenosis (ISR) with an optimal result after adequate lesion preparation; *b/* recurrent ISR with multiple stent layers; *c/* refractory stent underexpansion; *d/* bifurcation lesions with side-branch involvement. *e/* patients at HBR.

De novo lesions

We recommend considering DCB treatment in the following settings: *a/* small vessels with a reference diameter of < 2.75 mm; *b/* ostial or proximal SB treatment during provisional stenting; *c/* very diffuse disease or long lesions > 25 mm, using a hybrid DCB-DES strategy when appropriate; *d/* lesions in vessels of any size in patients at HBR, particularly those at very HBR.

The DCB approach for de-novo lesions

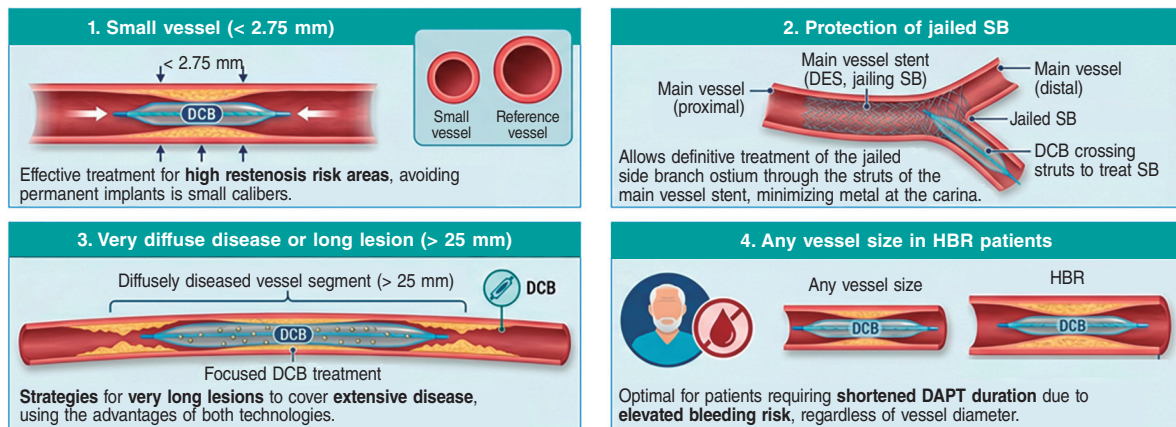


Figure 4. Recommended indications for DCB treatment of de novo lesions. DAPT, dual antiplatelet therapy; DCB, drug-coated balloon; DES, drug-eluting stent; HBR, high bleeding risk; SB, side branch.

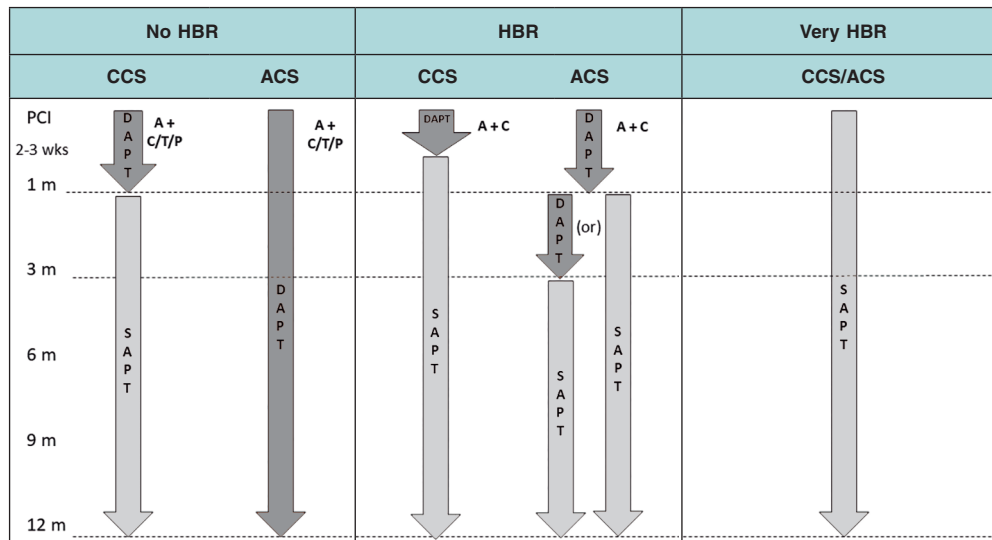


Figure 5. Antiplatelet therapy during the first year after DCB-only PCI in patients without an indication for oral anticoagulation. A, aspirin; ACS, acute coronary syndrome; C, clopidogrel; CCS, chronic coronary syndrome; DAPT, dual antiplatelet therapy; DCB, drug-coated balloon; HBR, high bleeding risk; M, months; P, prasugrel; PCI, percutaneous coronary intervention; SAPT, single antiplatelet therapy; SB, side branch; T, ticagrelor; wk, weeks.

A PROPOSED ANTITHROMBOTIC THERAPY ALGORITHM AFTER DCB-ONLY PCI

The Third Report of the International DCB Consensus Group, published in 2020, was developed in response to the lack of standardization and comparability among DCB studies.¹⁰ The group proposed 4 weeks of DAPT for ISR and de novo lesions in patients with CCS treated with DCB-only PCI. The authors also noted that DAPT duration after DCB treatment could potentially be shortened further in patients at HBR and that oral anticoagulation could be combined with SAPT in selected patients.¹⁰

According to current European clinical practice guidelines, DAPT after DCB angioplasty is recommended for 6 months in patients with CCS and 12 months in those with ACS. In patients at HBR, 3 months of DAPT is considered appropriate, whereas a 1-month regimen may be considered as an alternative.^{28,58}

Our proposed antiplatelet strategy for patients treated with DCB-only PCI is based on a personal interpretation of the reviewed evidence and acknowledges the lack of robust randomized clinical trial data (figure 5 and figure 6).

Bleeding risk is not dichotomous but exists along a continuum and may be divided into at least 3 categories. The PRECISE-HBR score stratifies bleeding risk as follows: very high bleeding risk, defined by a 1-year Bleeding Academic Research Consortium (BARC) type 3 or 5 bleeding rate of $\geq 6\%$ and a score of ≥ 27 ; HBR, defined by a 1-year rate of $\geq 4\%$ but $< 6\%$ and a score of 23-26; and non-HBR, defined by a 1-year rate of $< 4\%$ and a score of ≤ 22 .⁶⁷

The first consideration is whether the patient requires oral anticoagulation and the degree of bleeding risk, classified as low bleeding risk, HBR, or very HBR. The second consideration is the clinical presentation, namely CCS or ACS.

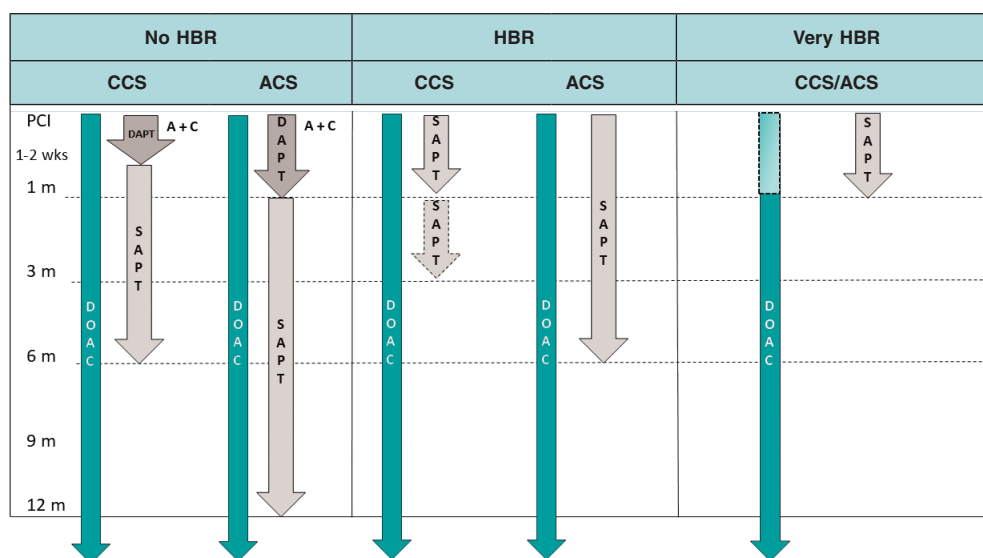


Figure 6. Antithrombotic therapy during the first year after DCB-only PCI in patients with an indication for oral anticoagulation. A, aspirin; ACS, acute coronary syndrome; C, clopidogrel; CCS, chronic coronary syndrome; DAPT, dual antiplatelet therapy; DCB, drug-coated balloon; DOAC, direct oral anticoagulant; HBR, high bleeding risk; M, months; PCI, percutaneous coronary intervention; SAPT, single antiplatelet therapy; wk, weeks.

Recommendations when oral anticoagulation is not required

In patients without HBR, DAPT should be administered for 1 month after DCB-only PCI for CCS and for 12 months after ACS. Any oral P2Y₁₂ inhibitor may be used.

Among patients at HBR, those with CCS may receive DAPT for 2-3 weeks followed by SAPT, whereas patients with ACS may receive DAPT for 1-3 months according to individual clinical characteristics. Clopidogrel should be used as the P2Y₁₂ inhibitor. The complex group of patients at very HBR may be treated with SAPT alone from hospital discharge, preferably with clopidogrel (figure 5).

Recommendations when oral anticoagulation is required

In patients without HBR, we propose triple therapy with a direct oral anticoagulant (DOAC + DAPT) for 1-2 weeks after PCI for CCS and for 1 month after ACS. This should be followed by dual therapy (DOAC + clopidogrel) for up to 12 months, after which DOAC monotherapy should be continued.

Among patients at HBR, dual therapy with a DOAC plus clopidogrel may be administered for 1-3 months after PCI for CCS and for up to 6 months after ACS. Thereafter, DOAC monotherapy is recommended.

The very challenging group of patients at very HBR may receive dual therapy for 1 month followed by DOAC monotherapy. Alternatively, SAPT may be considered during the first month, followed by DOAC monotherapy (figure 6).

Because evidence in this area remains extremely limited, these recommendations should be regarded as provisional proposals pending validation in future studies.

CONCLUSIONS

Overall, DCBs and DESs should be considered complementary rather than competing technologies. Reducing the permanent

metallic burden in complex multivessel disease is an appealing strategy, and hybrid approaches incorporating DCBs are increasingly used in clinical practice.

Current evidence particularly supports DCB use in ISR, small-vessel disease, bifurcation lesions, and patients at HBR, in whom a "leave-nothing-behind" strategy may reduce the metallic burden and permit shorter antithrombotic regimens. Optimal lesion preparation and careful patient selection remain essential to achieve favorable outcomes.

A class effect should not be assumed because individual DCB platforms differ substantially in design, drug formulation, excipient, and delivery characteristics, all of which may influence clinical performance.⁶⁸

Although evidence regarding antithrombotic therapy after DCB-only PCI remains limited, emerging data suggest that abbreviated DAPT, and even SAPT in selected patients at HBR, may be feasible and safe. Future randomized trials are needed to better define the role of DCBs in large-vessel disease and to establish individualized antithrombotic strategies.

In conclusion, DCB angioplasty is an alternative when DES implantation is considered problematic because of anatomical or clinical factors.

Figure 7 provides a comprehensive visual summary of this review as the central illustration.

DCB therapy is currently receiving substantial attention. Over time, the field is likely to reach a more balanced position, consolidating the most appropriate indications and optimal methods of use.⁶⁹

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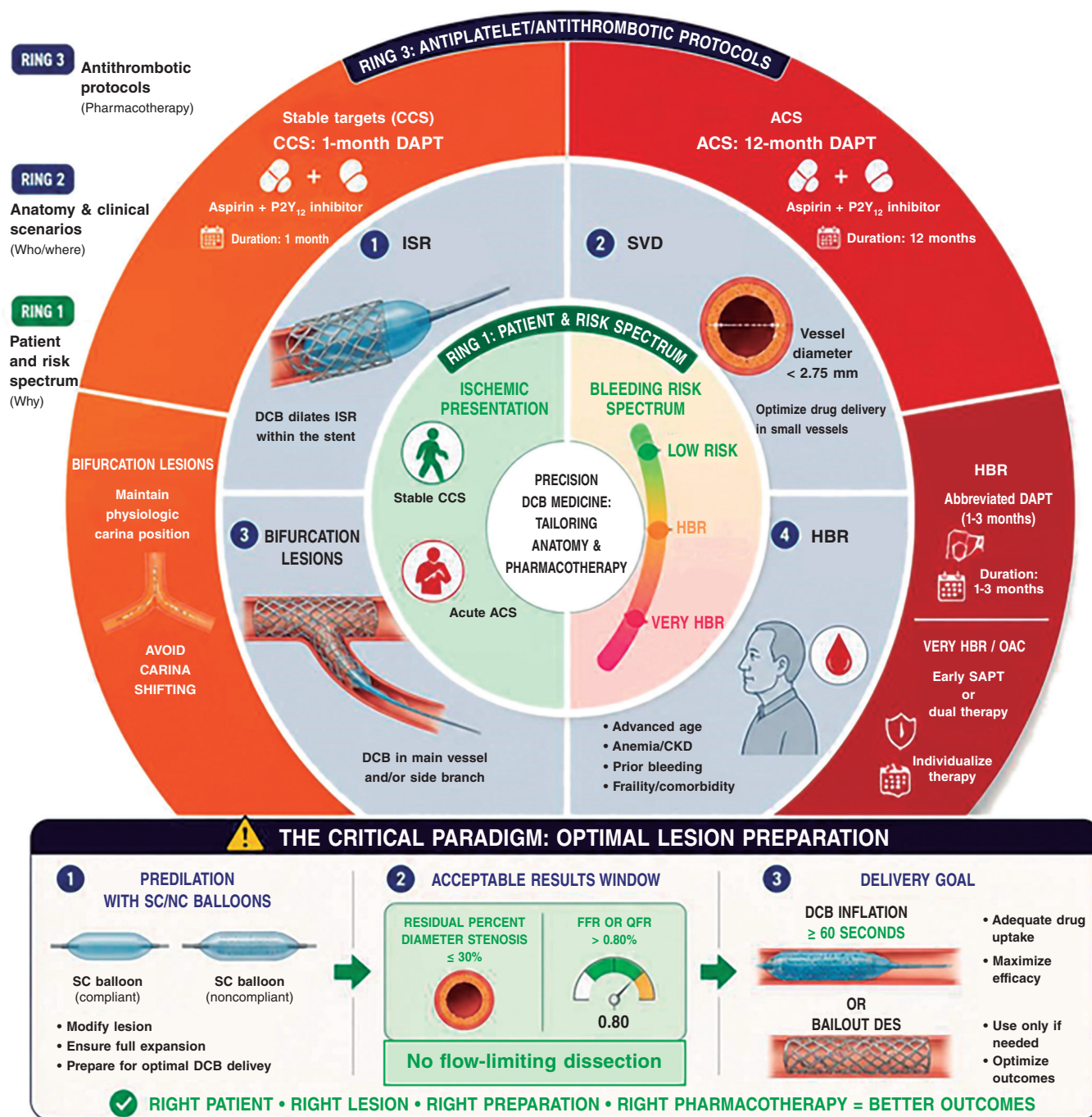


Figure 7. Central illustration. The wheel integrates 3 levels of decision-making: the patient and bleeding-risk profile (ring 1), the anatomical target lesion or high bleeding risk (ring 2), and the corresponding antithrombotic strategy (ring 3). The lower panel summarizes the critical lesion-preparation strategy required to optimize outcomes. ACS, acute coronary syndrome; CCS, chronic coronary syndrome; CKD, chronic kidney disease; DAPT, dual antiplatelet therapy; DCB, drug-coated balloon; DES, drug-eluting stent; FFR, fractional flow reserve; HBR, high bleeding risk; ISR, in-stent restenosis; NC, noncompliant balloon; DOAC, direct oral anticoagulation; QFR, quantitative flow ratio; SAPT, single antiplatelet therapy; SC, semicompliant balloon; SVD, small-vessel disease.

STATEMENT ON THE USE OF ARTIFICIAL INTELLIGENCE

The authors used Google Gemini solely to develop representative figures illustrating the different applications of drug-coated balloons in clinical settings involving in-stent restenosis and de novo lesions. Canva was used to assist with the design of the central illustration. After using these tools, the authors reviewed and edited the resulting content as necessary and take full responsibility for all content included in the publication.

AUTHORS' CONTRIBUTIONS

J.M. de la Torre-Hernández and R.R. Hernández Caballero conceived the topic and designed the structure of the review. J.M. de la Torre-Hernández, R.R. Hernández Caballero, and S. Siliano performed the literature search and extracted data on coronary drug-coated balloons. R.R. Hernández Caballero and S. Siliano drafted the sections on current clinical evidence and compiled the data presented in the tables. R.R. Hernández Caballero and J.M. de

la Torre-Hernández developed and organized the figures used for the lesion preparation flowchart and the proposed antithrombotic therapy algorithms. All authors critically reviewed and edited the manuscript and approved the final version.

CONFLICTS OF INTEREST

J.M. de la Torre-Hernández is editor-in-chief of *REC: Interventional Cardiology*. The journal's editorial procedure to ensure impartial handling of the manuscript has been followed. J.M. de la Torre-Hernández reports receiving payments from Abbott, Medtronic, Philips, Biotronik, Amgen, Daiichi Sankyo, Boston Scientific, and Novartis for participation as a speaker or advisory board member. R.R. Hernández Caballero and S. Siliano declared no conflicts of interest whatsoever.

SUPPLEMENTARY DATA



Supplementary data associated with this article can be found in the online version available at <https://doi.org/10.24875/RECICE.M26000610>.

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